

## SCHOOLS FORUM

---

**DRAFT MINUTES OF THE SCHOOLS FORUM MEETING HELD ON 3 OCTOBER 2013 AT THE USHER SUITE - CIVIC CENTRE, ST STEPHENS PLACE, TROWBRIDGE. BA14 8AH.**

**Present:**

Mr N Baker (Chairman), Mrs Aileen Bates, Mr Steve Clark, Jan Hatherell, Mrs Sue Jiggins, Mr M Keeling, Rob Parsons, Mr J Proctor, Ms I Sidmouth, Mr Martin Watson (Vice-Chair) and Mrs C Williamson

**Also Present:**

Samuel Bath, Julia Cramp, Cllr Tony Deane, Cllr Richard Gamble, Karina Kulawik, Cllr Bill Moss and Elizabeth Williams

---

**41 Election of Chair**

**Resolved**

**The Forum agreed to appoint Mr Neil Baker as Chair of Schools Forum for 2013/14.**

**42 Election of Vice-Chair**

**Resolved**

**The Forum agreed to appoint Mr Martin Watson as Vice-Chair of Schools Forum for 2013/14.**

**43 Apologies and changes to membership**

The Forum noted apologies from:

Dr Peter Biggs, Mr Andy Bridewell, Mrs Jane Franchi, Mr Tim Gilson, Cllr Laura Mayes, Mr Ken Brough and Mr Rob Rees.

The following changes to membership were also made:

Mrs Julia Bird is replaced by Mr Rob Parsons, (Colerne CofE Primary)

Mrs Jane Franchi is replaced by Ms Amanda Christopher, (Diocesan representative)

Mr Tim Gilson is replaced by Ms Michelle Chilcott (South Wilts. Grammar)

Mr Rob Rees is replaced by Ms Claire Shaw (Wiltshire College)

**44 Chairman's Announcements**

The Chair thanked Stephanie Denovan and the Schools and Learning team for the work and service to Local Education and the Forum.

45 **Declaration of Interests**

There were no Declarations of Interest.

46 **Minutes of the previous Meeting**

**Resolved**

**The Forum agreed to approve and sign the minutes of the previous meeting held 27 June 2013 as a true and accurate record.**

47 **Children and Young People's Trust Board Update**

Julia Cramp, Service Director – Commissioning and Performance, provided updates on the following:

The Early Intervention Strategy had been published on the Child Trust Pathway website and was open for consultation. Comments were invited to be made on the strategy.

A new proposed Children's Services structure for SEN and disability services was circulated. This brought together Social Care and SEN in line with legislation and the national direction of travel.

48 **Academies and the Local Government Pension Scheme**

David Anthony – Head of Pensions presented the report, and outlined the process that the Wiltshire Pension Fund takes in setting up Academies. This is aligned as far as possible to the both the principles of the Government and the pension scheme regulations. The Government currently have a consultation on this and the Wiltshire Pension Fund will review its approach should the Government change its guidance on Academy pensions as a result. Academies were advised that a FRS17 actuarial report would need to be completed each financial year to include in final accounts. The attributable costs for this were outlined, with around £2-3k for the first year of set-up and £700 p/a for future years.

The Forums attention was drawn to the affect of conversion to academy status on contribution rates. Increased rates were expected for some, with contributions expected to be higher than those currently with the LEA.

A meeting on 10 October 2013 at St John's Parish Church, Trowbridge was outlined, where the pension valuation would be discussed. A second meeting on 21 October 2013 would also be held at the same venue specifically for those schools converting or recently converted to Academy status..

Cllr Tony Deane then invited a representative of the Forum to attend the next Wiltshire Pension Fund meeting.

**Resolved**

**The Forum noted the report**

## 49 **Budget Monitoring 2013-14 and Final DSG Settlement**

Liz Williams, Head of Finance outlined the report to the Forum, detailing the budget monitoring information and position of the Dedicated Schools Grant for the 2013-14 financial year.

A projected and planned underspend of around £800k for Early Years Free Entitlement (2 Year Olds) was noted. This would be rolled forward to 2014/15 to support the agreed hourly rate for 2 year old places.

The Forums attention was also drawn to the overspend on free entitlement of 3+4 year olds of around £200k, and the continuous monitoring of this overspend by the Council.

The underspend against high needs top up Budgets of around £1.2m was attributed to the savings made in unfilled places. This was explained further in the analysis of high needs budgets and expenditure 2013-14 item.

The DSG settlement for 2013-14 was presented to the Forum. The final allocation issued by the DfE for Wiltshire was £303.113m (prior to deductions for academies recoupment and direct funding of academy high needs places). This was noted as being a £930k increase from the provisional statement. This was attributed to the increased number of places in the High Needs block, expected to fund the additional provision of specialist provision (including post 16 provision) in FE colleges and Independent Specialist Providers (ISP's).

### **Resolved**

**The Forum agreed to:**

- a) Note the report on Budget Monitoring 2013/14 and the final DSG Settlement.**
- b) Confirm to the DfE that the allocation of DSG for 2013-14 would be in support of the schools budget for 2013-14.**

## 50 **Reports from Working Groups**

Liz Williams, Head of Finance introduced the reports and minutes from the Schools Funding Working Group, SEN Working Group and the Early Years Reference Group.

### **Resolved**

**The Forum agreed:**

- a) To note the reports and recommendations contained within the working group reports.**
- b) To implement the proposed changes to the Early Years Single Funding Formula for rapidly expanding settings and starters and leavers prior to the headcount, subject to appropriate audit arrangements being in place for the headcount.**

- c) To amend the Terms of reference for the EYRG to delete the requirement for the Chair of the group to be an LA Officer.**

**51 Schools Revenue Balances 2012-13**

Liz Williams, Head of Finance introduced the report and outlined the movement in net revenue balances over the past 3 years.

The Forums attention was drawn to the 2012/13 balance of £7.9m which represented an £800k reduction from the previous year. This movement was attributed to the number of schools converting to academy status.

In addition, the Forum noted the revenue balances outside the permissible limit, with 45 schools in total accounting for £4.37m. Approximately 22.5% of schools hold 55% of revenue balances. The forum noted the procedure for clawing back excess balances above the permissible limits.

The forum noted that 16 Schools were in deficit with a total value of £1.21m. This reflected a decrease in numbers, again attributed to the number of academy conversions.

**Resolved**

**The forum agreed**

- a) To note the report on Schools Revenue Surplus and Deficit Balances 2012/13**
- b) To claw back the revenue Balance for Bowerhill School in accordance with the controls on surplus balances scheme. Bowerhill School should also be informed in writing of the right to appeal.**

**52 Schools Funding Formula 2014-15: Outcome of consultation with Schools**

Liz Williams, Head of Finance outlined the report which detailed the outcome of the consultation held with schools over changes to the lump sum value and central services.

The forum considered the comments in the consultation and the impact of each lump sum payment on school size.

**Resolved**

**The forum agreed**

- a) To set the maximum lump sum payable for primary schools as £85,000 and for secondary schools as £175,000.**
- b) To set the delegation/de-delegation of Central Budgets 2014-15 as follows:**

<b><u>DfE Heading</u></b>	<b><u>Wiltshire Budget</u></b>	<b><u>Maintained Primary Schools</u></b>	<b><u>Maintained Secondary Schools</u></b>
<b>Contingencies</b>	<b>Schools Contingency</b>	<b>De-delegate</b>	<b>De-delegate</b>
<b>Free school meals eligibility</b>	<b>Free School Meals Eligibility Service</b>	<b>De-delegate</b>	<b>De-delegate</b>
<b>Licences/subscriptions</b>	<b>SIMS Licence</b>	<b>De-Delegate</b>	<b>De-Delegate</b>
	<b>HCSS Licence</b>	<b>De-Delegate</b>	<b>De-Delegate</b>
	<b>Copyright Licences (excluding the national CLA and MPA Licences)</b>	<b>De-Delegate</b>	<b>De-Delegate</b>
<b>Staff costs – supply cover</b>	<b>Trade Union Duties</b>	<b>De-Delegate</b>	<b>De-Delegate</b>
	<b>Maternity Costs</b>	<b>De-Delegate</b>	<b>De-Delegate</b>
<b>Support for minority ethnic pupils and underachieving groups</b>	<b>Ethnic Minority Achievement Service (EMAS)</b>	<b>De-Delegate</b>	<b>Delegate</b>
	<b>Traveller Education Service</b>	<b>De-Delegate</b>	<b>Delegate</b>
<b>Behaviour support services</b>	<b>Primary Behaviour Support Service</b>	<b>De-Delegate</b>	<b>Not delivered to secondary schools</b>

### 53 Minimum Funding Guarantee Exceptions 2014/15

Liz Williams, Head of Finance introduced the report which outlined Minimum Funding Guarantee (MFG) Exceptions to be considered for 2014-15. These included:

- New school allowances and new school new year group funding.
- Rents, where the school no longer qualifies under the revised funding proposals.
- Split site funding where a school no longer qualifies.

- Changes categories of, or spending on, central budgets.
- Schools with special units.
- Requests to vary the protection for special schools and academies.

## **Resolved**

### **The Forum:**

- a) **Noted the report**
- b) **Supported the following recommendations to be sent to the Education Funding Agency (EFA):**
  1. **To seek approval from the Department of Education (DfE) to remove new school allowances and new school new year group funding from the MFG;**
  2. **To seek approval from the EFA to decrease the qualifying threshold from 1% to 0.75% of schools budgets and to continue to remove the rent from the MFG calculation;**
  3. **To seek approval from the EFA to remove split site funding from the MFG where a school no longer qualifies under the revised definition;**
  4. **To seek approval from the EFA to exclude new additional categories or changes in spend on central services budget should the consultation recommend such changes to the delegations or de-delegations**
  5. **To seek approval from the EFA to amend the baselines of schools with special units to reflect the new deduction of places from the number on roll, rather than the number of pupils, in order to calculate MFG protection on a consistent basis; and**
  6. **To seek approval from the EFA to allow amendment to the baseline of special schools and academies in order to not overfund the school via the MFG mechanism as day and residential pupil numbers have changed.**

## **54 Analysis of High Needs Budgets and Expenditure 2013-14**

Liz Williams, Head of Finance introduced the report alongside Karina Kulawik, Manger for Inclusion Services.

Attention was drawn to the increased underspend against the independent special schools partly due to the inclusion of post-16 placement funding in the post-16 top up budget so that all post 16 costs are recorded together.

The Forum discussed the review on expenditure within the high needs block, noting the need for a detailed piece of work on residential top-ups. It considered the options presented for increasing top up values in the current year to support the transition to the new funding methodology.

## Resolved

### The Forum agreed

- a) To note the analysis of the high needs block.
- b) That ELP would continue to be funded in the same way as high needs provision through place plus
- c) That there would be no minimum number of ELP places funded
- d) That Post 16 and pre 16 would continue to be treated separately.
- e) That additional places in ELP would be funded at top up value, plus an additional place value (adjusted for the AWPU if the pupil is already in the school)
- f) That the value of top ups for ELP would maintain parity with values in resource bases and special schools
- g) That the day element of top up rates for special schools be increased to the following values for 2013-14 as an in-year adjustment:

Band	Value (£)
1+	18,054
1	12,361
2	9,514
3	6,668
4	2,814
5	485

- h) To receive proposals to review residential place numbers, top up rates and the potential for extended day top up values for 2014-15 at a future meeting.
- i) To note the issues arising for consideration in the budget setting process for 2014-15, which include the financial pressures around alternative provision.
- j) That the language for Named Pupil Allowances (NPAs) should be changed when writing statements with immediate effect; statements should no longer refer to total hours of support.

Liz Williams, Head of Finance introduced the report to the Forum.

Following the outline of the proposals to the ELP provision, the descriptors outlined in the report for the 3 bands across the resource bases were discussed. Based on the number of pupils in each resource band it was estimated that the additional cost of the revised banding would be around £204,000.

## **Resolved**

### **The Forum agreed**

- a) the revised banding structure for resource bases, with 3 top up bands to be used with from the next moderation process. Top up values are to be finalised as part of the budget setting process, but pupils will be moderated according to the new bands this autumn.**
- b) That top up values for resource bands 2 and 3, be applied to ELP1 and ELP2 bands and relevant special school bands respectively in order to maintain parity throughout the banding system.**

## **56 Urgent Items**

The forum noted concern over changes to the management structure at Wiltshire Council, and discussed the possibility of receiving a briefing note from the Director of Children's Services on the new proposed structure showing clear delineation of roles and functions.

## **57 Confirmation of dates for future meetings**

The following future dates for Schools Forum were noted:

12 December 2013  
16 January 2014  
13 March 2014

(Duration of meeting: 1.45 - 4.15 pm)

The Officer who has produced these minutes is Samuel Bath, of Democratic Services, direct line 01225 718211, e-mail [samuel.bath@wiltshire.gov.uk](mailto:samuel.bath@wiltshire.gov.uk)

Press enquiries to Communications, direct line (01225) 713114/713115



## **ANALYSIS OF HIGH NEEDS BUDGETS AND EXPENDITURE 2013-14**

### **Purpose of the Report**

1. To present an analysis of expenditure on budgets within the High Needs Block of the overall schools budget for 2013-14.
2. To present proposals to increase top up rates for pupils in Wiltshire special schools in 2013-14.
3. To present proposals for how Enhanced Learning Provision (ELP) in secondary schools should be managed within the overall place plus funding system.
4. To raise a number of issues that will need to be considered in setting high needs budgets for 2014-15.

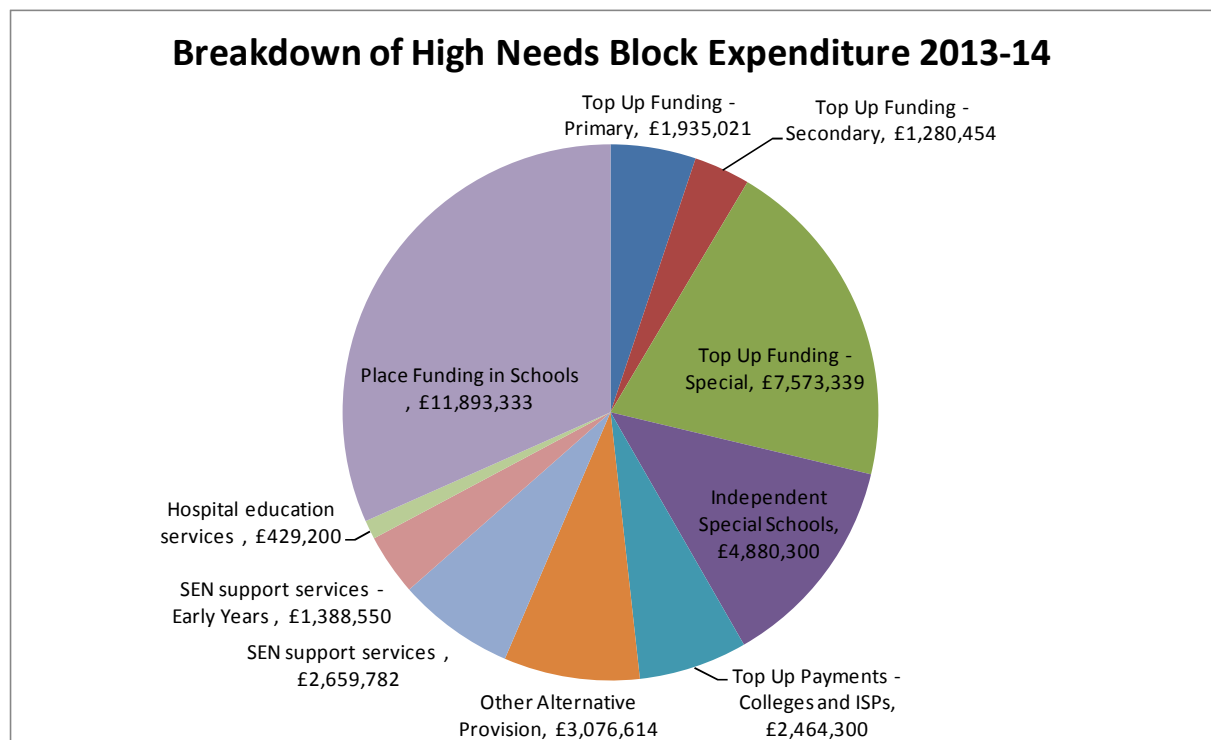
### **Background**

5. Dedicated Schools Grant in (DSG) in 2013-14 is allocated in 3 main expenditure blocks:
  - Schools Block
  - High Needs Block
  - Early Years Block
6. These expenditure blocks are not ringfenced and funding can be moved between blocks according to Schools Forum's priorities. The allocation of the high needs block is based on agreed planned place numbers and historical spend rather than on specific school census data.
7. The High Needs Block covers expenditure on provision for pupils and students with high needs from ages 5 to 25 and support services for pupils covering early years provision to FE college provision. The responsibility to fund provision for students in FE colleges and Independent Specialist Providers (ISPs) up to the age of 25 years is a new responsibility for local authorities and funding was transferred in to DSG for 2013-14 to support this, based on previous learner numbers. The high needs block also covers the cost of alternative provision and hospital education services.
8. The final allocation of high needs funding to Wiltshire in 2013-14 is £35.997 million, expenditure (including central support service recharges) has been calculated at £37.581 million. This is analysed on the LA's Section 251 Return as follows:

<b>HIGH NEEDS BUDGET 2013-14</b>		
Top Up Funding - Primary	£ 1,935,021	5%
Top Up Funding - Secondary	£ 1,280,454	3%
Top Up Funding - Special	£ 7,573,339	20%
Independent Special Schools	£ 4,880,300	13%
Top Up Payments - Colleges and ISPs	£ 2,464,300	7%
Other Alternative Provision	£ 3,076,614	8%
SEN support services	£ 2,659,782	7%
SEN support services - Early Years	£ 1,388,550	4%
Hospital education services	£ 429,200	1%
Place Funding in Schools	£ 11,893,333	32%
	<b>£ 37,580,893</b>	<b>100%</b>

9. The table above represents the budget as originally set and includes central support recharges. Since the start of the year the budget and costs of post-16 pupils within Independent Special Schools (ISS) have been moved and are now included with the top up payments for post-16 students in FE Colleges and ISPs so that all post 16 costs are recorded together.

10. The expenditure within the high needs block can be illustrated graphically as follows:



11. The majority of expenditure is related to place funding in Wiltshire schools (special schools, resource bases and ELP, and Named Pupil Allowances (NPAs)) and associated top up values (£22.7 million). A further £7.3 million relates to funding of placements in independent special schools, FE colleges and ISPs.

12. SEN Support Services include the Specialist SEN Service and the Sensory Support Service, central equipment budgets, etc. There are also support services provided directly to children in Early Years settings.

13. Expenditure on alternative provision includes funding devolved to secondary schools for the commissioning of alternative provision for pupils at risk of

exclusion and expenditure on provision for primary age pupils who may have been excluded or are at risk of exclusion.

## **Main Considerations**

### ***Budget Monitoring 2013-14***

14. Projected expenditure against top up budgets is shown in Appendix 1 to this report. The report shows a projected underspend of £2.524 million against high needs budgets at this point in the year. It is clear that top up budgets are not yet fully committed for the year although the following comments, which will impact on the final position, should be taken into account:

- a. Special Schools – in setting top up rates for 2013-14, Schools Forum was mindful of the balance between setting rates so as not to exceed the budget available but also to reflect the impact of unfilled places and pupil movement through the year. As a result special school top up rates were increased by 2% in the budget setting process. Considerable work has been carried out with Wiltshire special schools to try to understand the impact of the new funding methodology and a proposal is included in this report to increase the top up rates for special schools in the current financial year. This will reduce the projected underspend by up to £0.8 million.
- b. The numbers of students attending FE colleges is not yet finalised. For Swindon College, for example, the number of students expected to attend exceeds the number of “pre-paid” places for Wiltshire pupils and this increases the financial risk for Wiltshire as a proportion of the place funding (Element 2) must also be funded. There are also ongoing discussions with the EFA relating to the number of post-16 high needs places to be funded in school 6<sup>th</sup> forms and this is likely to become a cost pressure for the high needs budget. It was agreed at the SEN Working Group that a review should be carried out of which courses post-16 students had taken up so that the appropriate numbers of places to be funded in the current year can be agreed.
- c. Officers from the SEN and Inclusion Service have been working with FE Colleges to develop ways of working and processes to enable the assessment of student’s needs and the appropriate top up rates. FE Colleges within the area are keen to work within the Wiltshire banding principles and structure and it is expected that a level of one off investment will need to be made during this year to enable the capacity to develop this way of working for post-16 students. This would be affordable from within the current high needs budgets.
- d. The projected underspend against the ISS budget is greater than in previous years, it is thought that this is because previously the budget was funding more placements for post-16 pupils than the allocated budget from the EFA. These costs are now showing against the post-16 top up budget.
- e. Underspends against the top up budgets are likely to have arisen because of the number of unfilled places. This indicates that in future years it will be essential to ensure that the number of places is set appropriate level, and that perhaps more “risk” can be taken in setting top up levels as savings will be made on empty places. Proposals are presented in a separate report on this agenda to change the banding descriptors and top up values for Resource Bases and ELP. It is anticipated that this will increase expenditure on top ups in future years.

## Special Schools

15. At the Schools Forum meeting in June 2013 it was agreed that further work should be carried out to review expenditure within the High Needs Block and the impact of the new funding methodology on special schools. In particular it was requested that as part of that work we look at options for supporting the transition to the new funding methodology as this has had a significant impact on special school budgets.
16. A number of meetings have been held with special school head teachers, including a meeting involving business managers and governors, to review the impact of the new funding methodology. Key impacts have been highlighted as:
  - The impact of pupil movement and unfilled places on school budgets;
  - The difficulty in planning budgets for more than one year;
  - Differences in top up values across different LAs;
  - Wiltshire top up values and the relativities between bands;
  - Values of residential top up values versus day top up values.
17. At the most recent meeting with special schools it was agreed that a working group would be established to consider the top up values and split between day and residential top up values for 2014-15. It was also agreed, however, that some work would be carried out to see if top up values could be increased in the current year on a one off basis whilst the work for 2014-15 was completed.
18. The Schools Strategic Financial Support Manager will also be working closely with the special school Business Managers to develop ways to improve financial planning for future years.
19. In considering how top up values might be increased in the current year a number of things were taken in to account:
  - a. *Affordability* – any increase in the current year will impact on the affordability of top up values in 2014-15. This would require funding to be transferred from the ISS budget in to the special school budget to support the increase in the current year and on an ongoing basis. Projected spend against the ISS budget would indicate that this is possible.
  - b. *Residential versus Day rates* – benchmarking carried out for the meeting held with special school indicates that residential top up rates in Wiltshire are higher than those in other south west authorities. Work will be carried out to review the numbers of residential places for 2014-15 and as part of that work the value of the residential element of the top up will need to be looked at. Any increase to residential rates for this year will be protected by the minimum funding guarantee and may therefore limit flexibility in future years. It is important however that any increase in day top up rates is applied equitably across all pupils.
  - c. *Relativities between band values and different provision types* – in discussions with special school head teachers it was clear that a priority was to impact on all band values and not just to focus on the higher levels of need. As work is also being carried out to review the top up values for resource bases and ELP it was also considered important that special school top up values maintained comparability with what is being proposed in other high needs provision.
20. As a result of these considerations two possible approaches have been modelled. The first is a straight percentage increase to day top up rates with

that same cash increase being applied to the residential rate, and the second is a model based on the relativity between special school top up rates and the proposed rates for resource bases and ELP in 2014-15. The advantages and disadvantages of each approach can be summarised as follows.

<b>Option</b>	<b>Advantage</b>	<b>Disadvantage</b>
Percentage increase to day rates	Simple to apply Affordability - % can be determined by level of budget	Does not address concerns about lower band values - % of a low figure is still low Loss of rationale for the calculation meaning that day rates will need to be revisited for 2014-15. Impact of MFG?
Model based on proposed RB and ELP rates	Maintains parity between special school bands and other types of provision – in year and for 2014-15	Potential to cost more than a % increase – based on need rather than available budget

21. The impact of each of the two options is illustrated in Appendix 2. For Option 1 a percentage increase of 7.5% to the day rates has been applied. Appendix 3 shows a comparison of the revised special school rates with the rates proposed for resource bases and ELP in 2014-15.

### ***Enhanced Learning Provision (ELP)***

22. The introduction of the place plus funding methodology has been challenging to implement for ELP provision. One of the key issues has been how to set planned place numbers and there have also been issues surrounding the number of planned places set by the EFA for post-16 high needs students. These students do not attract ELP funding under Wiltshire's current system but need to be funded on a place plus basis.
23. In order to resolve some of these issues meetings were held with each of the secondary school federations to discuss the issues and make recommendation for how they should be dealt with. The main issues were:
- a. Should ELP be funded through a place plus mechanism or should it be funded through the High Incidence, Low Cost element of the funding formula with top ups similar to Named Pupil Allowances.
  - b. Should there be a minimum number of planned places in each school? (currently schools are funded for a minimum of 6 places)
  - c. Should we combine the funding for post- and pre-16 or continue to treat separately?
  - d. How should additional pupils be funded (ie., pupils over and above planned numbers)
  - e. How should top up values be calculated?
24. A summary of the outcomes of those discussions is shown in the table below:

	Place plus	Minimum number of planned places	Post 16	Additional places	Values of top ups
North	Yes	6 too many but should consider trends, if one year dip no reduction to numbers	Tackle next year because too much turbulence at present	Option 2 – AWPU + top up	In line with complex needs
West	Yes	As above	Relatively low numbers, don't consider elp and post 16 together, case by case discussions	Option 3 - £10K + top up	As above
South	Yes but much discussion as 6 voted for place plus, 2 against and 2 abstained	As above	Requested data for all post 16 6 <sup>th</sup> forms Not to merge numbers at this stage but in the future La to contact each school individually to agree numbers	Option 3 £10K + top up	As above

25. Following these discussions it is recommended that:

- a. ELP should continue to be funded in the same way as high needs provision through place plus.
- b. A minimum number of places should be funded but a lower number should be set
- c. Post 16 and pre 16 should continue to be treated separately as funding streams are different
- d. Additional places should be funded at top up value plus an additional place value
- e. The value of top ups for ELP should maintain parity with values in resource bases (for additional information see separate report on this agenda)

26. Place Numbers – recent guidance from the EFA indicates that the cost of any increases in place numbers will need to be met from existing funding levels unless a specific case for change can be presented.
27. Top up values – top up levels for all types of provision in schools are being reviewed for 2014-15. This will increase costs and the level of spend this year will inform assumptions on the affordability of those changes. A MFG will be in place for top up values in 14-15 and so there are likely to be some associated costs of protecting top up values in some resource bases (see separate report). A request has been submitted to the EFA to disapply the MFG to enable changes in the numbers of residential and day places in special schools.
28. Financial pressures on the EOTAS and alternative provision budgets will need to be reviewed, particularly as the SLA with schools for alternative provision is reviewed. The numbers of permanent exclusions in primary schools have increased and this will increase the pressure on the alternative provision budget.

### **Proposals**

29. Schools Forum is asked to note the analysis of the high needs block
30. Schools Forum is asked to agree whether an increase should be applied to special school top up rates in 2013-14 and to determine which approach should be used to calculate that increase
31. Schools Forum is asked to agree the recommendations in paragraph 25 in relation to the funding of ELP provision and to recommend a minimum number of ELP places to be funded in individual schools
32. Schools Forum is asked to note the issues arising for consideration in the budget setting process for 2014-15.

CAROLYN GODFREY  
DIRECTOR, CHILDREN & EDUCATION

---

*Report Author: Liz Williams, Head of Finance (DCE)*

*Tel: 01225 713675 e-mail: [elizabeth.williams@wiltshire.gov.uk](mailto:elizabeth.williams@wiltshire.gov.uk)*

This page is intentionally left blank



**Education Inclusion Service - Placement Budgets Summary**  
Forecast as of Sept 2013

	Gross Budget	DSG Income	Traded Income	Net Budget	Actual to Date	Estimate to End of Year	Total Gross Forecast	Total Income Forecast	Variance Overspend/ (Underspend)	Current Estimated Pupil Numbers - FTE	Comment
	£	£	£	£	£	£	£	£	£		
12850 Independent Special Schools	3,998,500	- 3,998,500	-	-	1,205,290	1,401,366	2,606,656	-	- 1,391,844	67.95	
<b>12850 Subtotal ISS</b>	<b>3,998,500</b>	<b>- 3,998,500</b>	<b>-</b>	<b>-</b>	<b>1,205,290</b>	<b>1,401,366</b>	<b>2,606,656</b>	<b>-</b>	<b>- 1,391,844</b>	<b>67.95</b>	
12905 Additional Special Educational Needs (NPA)	1,226,400	- 1,226,400	-	-	643,147	840,309	1,483,456	-	257,056	364.63	Overspend
12910 Specialist Provision	247,200	- 212,300	-	34,900	50,593	70,830	121,423	-	- 125,777		Historically shows an underspending compensating for NPAs current forecast is based on prorata of actuals.
<b>Subtotal NPAs</b>	<b>1,473,600</b>	<b>- 1,438,700</b>	<b>-</b>	<b>34,900</b>	<b>693,740</b>	<b>911,139</b>	<b>1,604,879</b>	<b>-</b>	<b>131,279</b>	<b>364.63</b>	
12906 Top up WS WP SS	6,885,900	- 6,885,900	-	-	3,383,107	3,208,368	6,591,475	-	- 294,425	498.98	Underspend
12907 Top up WS WP RB	1,128,600	- 1,128,600	-	-	255,960	419,503	675,463	-	- 453,137	249.83	Underspend
12908 Top up WS WP ELP	920,300	- 920,300	-	-	142,159	329,627	471,786	-	- 448,514	343.58	Underspend
										-	
12909 Top up 16+	3,411,100	- 3,411,100	-	-	525,379	2,818,312	3,343,691	-	- 67,409	222.42	Underspend. This includes estimated values for NON Wilts College FE.
<b>Subtotal Top-Ups</b>	<b>12,345,900</b>	<b>- 12,345,900</b>	<b>-</b>	<b>-</b>	<b>4,306,605</b>	<b>6,775,809</b>	<b>11,082,415</b>	<b>-</b>	<b>- 1,263,485</b>	<b>1,314.82</b>	
<b>Accruals 12-13</b>											
12941 Recoupment NPA					274,716	- 274,716	-	-	-		Expected to be nil variance
12942 Recoupment Expeniture					- 2,070,803	2,070,803	-	-	-		Expected to be nil variance
12943 Recoupment ELP					72,269	- 72,269	-	-	-		Expected to be nil variance
12944 Recoupment Specialist School Income					165,639	- 165,639	-	-	-		Expected to be nil variance
<b>Subtotal Accruals 12-13</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>- 1,558,179</b>	<b>1,558,179</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	

**Option 1**

**7.5% increase on Day Rates with same cash increase to residential rates**

UPLIFT - 7.5% on Day Rate for	Places - current model						Top Up Funding 13-14 - Current Model						Top Up funding 13-14 -Uplift 7.5%						Total Funding	Difference in Funding	
	1+	1	2	3	4	5	£16,101	£10,804	£8,156	£5,507	£1,922	£0	£17,308	£11,614	£8,767	£5,920	£2,066	£0			
School	1+	1	2	3	4	5	1+	1	2	3	4	5	Total Funding	1+	1	2	3	4	5	Total Funding	Difference in Funding
Downlands	4	20	30	12	0	0	£64,402	£216,079	£244,671	£66,089	£0	£0	£591,241	£69,232	£232,285	£263,021	£71,046	£0	£0	£635,584	£44,343
Exeter House	27	26	20	30	1	0	£434,714	£280,903	£163,114	£165,222	£1,922	£0	£1,045,876	£467,318	£301,971	£175,347	£177,614	£2,066	£0	£1,124,316	£78,441
Larkrise	10	18	22	34	4	0	£161,005	£194,471	£179,425	£187,252	£7,688	£0	£729,842	£173,081	£209,057	£192,882	£201,296	£8,265	£0	£784,580	£54,738
Rowdeford	3	4	26	52	82	3	£48,302	£43,216	£212,048	£286,385	£157,611	£0	£747,562	£51,924	£46,457	£227,952	£307,864	£169,432	£0	£803,629	£56,067
Springfields	13	45	21	6	0	0	£209,307	£486,179	£171,269	£33,044	£0	£0	£899,799	£225,005	£522,642	£184,115	£35,523	£0	£0	£967,284	£67,485
St Nicholas	24	23	17	12	1	0	£386,413	£248,491	£138,647	£66,089	£1,922	£0	£841,562	£415,394	£267,128	£149,045	£71,046	£2,066	£0	£904,679	£63,117
	81	136	136	146	88	3	£1,304,143	£1,469,340	£1,109,174	£804,082	£169,143	£0	£4,855,882	£1,401,954	£1,579,540	£1,192,362	£864,388	£181,829	£0	£5,220,073	£364,191

**Option 2**

**Special Schools - Comparison of Banding Models - 2013-14 - Top Ups, based upon Resource Base Proposals & Band Weighting**

E:\moderngov\Data\AgendaItemDocs\8\1\4\AI00035418\{Soqk53djr.xlsx}Appendix 2

DAY STUDENTS	Places - current model						Top Up Funding 13-14 - Current Model						Top Up funding 13-14 -Uplift min uplift of £2,920/4						Total Funding	Difference in Funding	
	1+	1	2	3	4	5	£16,101	£10,804	£8,156	£5,507	£1,922	£0	£18,054	£12,361	£9,514	£6,668	£2,814	£485			
School	1+	1	2	3	4	5	1+	1	2	3	4	5	Total Funding	1+	1	2	3	4	5	Total Funding	Difference in Funding
Downlands	4	17	26	8	0	0	£64,402	£183,667	£212,048	£44,059	£0	£0	£504,177	£72,215	£210,133	£247,372	£53,343	£0	£0	£583,061	£78,885
Exeter House	27	26	20	30	1	0	£434,714	£280,903	£163,114	£165,222	£1,922	£0	£1,045,876	£487,449	£321,379	£190,286	£200,035	£2,814	£0	£1,201,963	£156,087
Larkrise	10	18	22	34	4	0	£161,005	£194,471	£179,425	£187,252	£7,688	£0	£729,842	£180,536	£222,493	£209,314	£226,707	£11,257	£0	£850,308	£120,465
Rowdeford	3	4	21	44	67	2	£48,302	£43,216	£171,269	£242,326	£128,780	£0	£633,893	£54,161	£49,443	£199,800	£293,385	£188,553	£971	£786,312	£152,420
Springfields	1	2	0	0	0	0	£16,101	£21,608	£0	£0	£0	£0	£37,708	£18,054	£24,721	£0	£0	£0	£0	£42,775	£5,067
St Nicholas	24	23	17	12	1	0	£386,413	£248,491	£138,647	£66,089	£1,922	£0	£841,562	£433,288	£284,297	£161,743	£80,014	£2,814	£0	£962,156	£120,594
	69	90	106	128	73	2	£1,110,937	£972,357	£864,503	£704,949	£140,312	£0	£3,793,058	£1,245,702	£1,112,467	£1,008,515	£853,484	£205,438	£971	£4,426,576	£633,518

Page 18

Apply Day Rate Uplift to Residential Pupils	Places - current model						Top Up Funding 13-14 - Current Model						Top Up funding 13-14 -Uplift 2.5%						Total Funding	Difference in Funding	
	1+	1	2	3	4	5	£52,555	£38,693	£31,763	£24,832	£15,450	£9,330	£54,508	£40,250	£33,122	£25,993	£16,342	£10,060			
School	1+	1	2	3	4	5	1+	1	2	3	4	5	Total Funding	1+	1	2	3	4	5	Total Funding	Difference in Funding
Downlands	0	3	4	4	0	0	£0	£116,080	£127,052	£99,330	£0	£0	£342,462	£0	£120,751	£132,486	£103,971	£0	£0	£357,208	£14,746
Exeter House	0	0	0	0	0	0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
Larkrise	0	0	0	0	0	0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
Rowdeford	0	0	5	8	15	1	£0	£0	£158,815	£198,659	£231,744	£9,330	£598,547	£0	£0	£165,608	£207,942	£245,126	£10,060	£628,736	£30,188
Springfields	12	43	21	6	0	0	£630,655	£1,663,819	£667,021	£148,994	£0	£0	£3,110,490	£654,092	£1,730,760	£695,552	£155,957	£0	£0	£3,236,362	£125,872
St Nicholas	0	0	0	0	0	0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
	12	46	30	18	15	1	£630,655	£1,779,900	£952,888	£446,983	£231,744	£9,330	£4,051,499	£654,092	£1,851,511	£993,646	£467,871	£245,126	£10,060	£4,222,306	£170,807

**Proposed Top Up Values 2014-15 - Resource Bases and ELP****Full Cost per Place**

Special Schools (Day)	1+	1	2	3	4	5	
	26,101	20,804	18,156	15,507	11,922	10,000	
Resource Bases		1		2		3	
		20,823		15,881		12,920	
ELP				ELP2		ELP1	
				15,881		12,920	
NPA				NPA2 (20-30 hours)		NPA1 (15-20 hours)	
				15,500		12,200	

**Shown as Place Plus****Place Value:**

£10,000	£10,000	£10,000	£10,000	£10,000	£10,000
---------	---------	---------	---------	---------	---------

**Top Ups**

Special Schools (Day)	1+	1	2	3	4	5	
Proposed Top Ups (Day)	18,054	12,361	9,514	6,668	2,814	485	
Current Top Ups	16,101	10,804	8,156	5,507	1,922	-	
Resource Bases		1		2		3	
		10,823		5,881		2,920	
ELP				ELP2		ELP1	
				5,881		2,920	
NPA				NPA2 (20-30 hours)		NPA1 (15-20 hours)	
				5,500		2,200	

	Current values 2013-14
	Proposed RB Top Ups
	Assume ELP rates have parity with RB rates

This page is intentionally left blank